



# A Single Account. A Single Statement. A Single Vision.

TAKE CONTROL BY CONSOLIDATING  
YOUR ASSETS

# Consolidating your assets can help.

Today's rapidly changing world makes three things crucial for investors: a well-constructed portfolio, a sure grasp of the components within that portfolio, and timely, informed decisions that address short- and long-term objectives.

Gaining that understanding and using it to properly manage and maximize your financial plan requires a holistic approach to both your portfolio and your plan.

## MAXIMIZE THE POTENTIAL OF YOUR FINANCIAL STRATEGY

Consolidating your investment portfolio into a single brokerage account that you and your advisor can regularly monitor may benefit you.

- **See the Financial “Big Picture.”** Why try to make sense of your financial picture from multiple statements? Consolidating your portfolio offers you the ability to see your investment choices in one concise statement. You and your advisor can use it to more efficiently and effectively view, track and monitor your financial strategy and how it is meeting your needs.
- **Take Control With Less Paper.** Fewer accounts and one monthly or quarterly statement (based on your activity) mean less paperwork. A single statement can also help simplify your year-end tax reporting. In addition, you can choose to access your statements and trade confirmations electronically via NetXInvestor® or your financial organization's online services.

- **Benefit from Convenient Account Access.** Currently, you may find yourself visiting multiple investment provider websites to keep track of your portfolio. Through a brokerage account and your financial organization's online services, you will have day and night access, with a single login, to all of your consolidated account information: brokerage account statements, tax statements and trade confirmations.
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*No matter how simple or complex your financial strategy is, a single brokerage account can provide you with a broad range of investment opportunities.*

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- **Enjoy Enhanced Service.** With your assets combined into a single account, client service is centralized, so you may benefit from improved response times to your requests and an enhanced overall experience.
  - **Experience the Power of Combining Assets.** Combining your assets provides you and your advisor a more holistic view of your portfolio for more effective asset allocation. Consolidation also simplifies access to a variety of investments, such as mutual funds, 529 education savings plans, annuities, alternative investments, stocks, bonds and exchange-traded funds, and enables you to more easily diversify your holdings to keep your intended financial strategy on track.
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*To learn more about the benefits of consolidating your investment portfolio into a single brokerage account, contact your advisor today.*

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# Member of the Securities Investor Protection Corporation

## Securities Investor Protection Corporation (SIPC®) Coverage

Pershing is a member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [sipc.org](http://sipc.org).

## Excess of SIPC Coverage Through Underwriters at Lloyd's and Other Commercial Insurers

In addition to SIPC protection, Pershing provides coverage in excess of SIPC limits from certain underwriters in Lloyd's insurance market and other commercial insurers. The excess of SIPC coverage is valid through February 10, 2023 for Pershing LLC accounts. It provides the following protection for Pershing LLC's global client assets:

- An aggregate loss limit of \$1 billion for eligible securities—over all client accounts
- A per-client loss limit of \$1.9 million for cash awaiting reinvestment—within the aggregate loss limit of \$1 billion

SIPC and the excess SIPC coverage do not protect against loss due to market fluctuation.

An excess of SIPC claim would only arise if Pershing failed financially and client assets for covered accounts—as defined by SIPC—cannot be located due to theft, misplacement, destruction, burglary, robbery, embezzlement, abstraction, failure to obtain or maintain possession or control of client securities, or to maintain the special reserve bank account required by applicable rules.

*Has your investment portfolio evolved into one, focused account with a carefully designed plan, or is it a patchwork of accounts, across various financial companies, with no clear goal?*



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